

EL 176030345US

*(Only for new nonprovisional applications under 37 CFR 1.53(b))*

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

*See MPEP chapter 600 concerning utility patent application contents.*

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [ Total Pages  ]  
(preferred arrangement set forth below)
- Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table,  
or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings ( if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [ Total Sheets  ]
5. Oath or Declaration [ Total Pages  ]
- a. ☒ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 CFR 1.63 (d))  
(for continuation/divisional with Box 18 completed)
- i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s)  
named in the prior application, see 37 CFR  
1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program ( *Appendix* )
8. Nucleotide and/or Amino Acid Sequence Submission ( *if applicable, all necessary* )
- a. ☐ Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
- ii. ☐ paper
- c. ☐ Statements verifying identity of above copies

9. ☒ Assignment Papers (cover sheet & document(s))

10. ☐ 37 CFR 3.73(b) Statement ☒ Power of Attorney  
(when there is an assignee)

11. ☐ English Translation Document (if applicable)

12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations

13. ☐ Preliminary Amendment

14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)

15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)

16. ☐ Request and Certification under 35 U.S.C. 122  
(b)(2)(B)(i). Applicant must attach form PTO/SB/35  
or its equivalent.

17. ☐ Other: .....

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No.:\_\_\_\_\_

**Prior application information:**

*Examiner*

*Group Art Unit:*

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

☒ *Customer Number or Bar Code Label*

27964  
(Insert Customer No. or Attach bar code label here)

or ☒ Correspondence address below

Name

David H. Hitt

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State

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Fax

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Name (Print/Type)

David H. Hitt

Registration No. (Attorney/Agent)

33,182

*Signature*

Date \_\_\_\_\_

07/23/2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.**

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**FEE TRANSMITTAL  
for FY 2001**

Patent fees are subject to annual revision.

**TOTAL AMOUNT OF PAYMENT**

(\$)

710.00

**Complete if Known**

Application Number

N/A

Filing Date

Herewith

First Named Inventor

Ruediger Bauder

Examiner Name

N/A

Group Art Unit

N/A

Attorney Docket No.

BAUDER 1-1-1

**METHOD OF PAYMENT**

- 1.
- ☒
- The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit  
Account  
Number

501735

Deposit  
Account  
Name

Agere Systems Guardian Corporation

- ☒
- Charge Any Additional Fee Required
- 
- Under 37 CFR 1.16 and 1.17

- ☐
- Applicant claims small entity status
- 
- See 37 CFR 1.27

- 2.
- ☐
- Payment Enclosed:

- ☐
- Check
- ☐
- Credit card
- ☐
- Money
- 
- Order
- ☐
- Other

**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Small Entity

Fee Fee Fee Fee Fee Description

Code (\$) Code (\$) Code (\$)

101 710 201 355 Utility filing fee

106 320 206 160 Design filing fee

107 490 207 245 Plant filing fee

108 710 208 355 Reissue filing fee

114 150 214 75 Provisional filing fee

Fee Paid

710.00

SUBTOTAL (1) (\$)

710.00

**2. EXTRA CLAIM FEES**

Extra Claims

Fee from

below

Fee Paid

Total Claims 20 -20\*\* = 0 X 18.00 = 0.00

Independent Claims 3 -3\*\* = 0 X 80.00 = 0.00

Multiple Dependent =

Large Entity Small Entity

Fee Fee Fee Fee Fee Description

Code (\$) Code (\$) Code (\$)

103 18 203 9 Claims in excess of 20

102 80 202 40 Independent claims in excess of 3

104 270 204 135 Multiple dependent claim, if not paid

109 80 209 40 \*\* Reissue independent claims

over original patent

110 18 210 9 \*\* Reissue claims in excess of 20

and over original patent

SUBTOTAL (2)

(\$)

0.00

\*\*or number previously paid, if greater; For Reissues, see above

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**Large  
EntitySmall  
EntityFee  
CodeFee  
(\$)Fee  
CodeFee  
(\$)

Fee Description

Fee Paid

105

130

205

65

Surcharge - late filing fee or oath

127

50

227

25

Surcharge - late provisional filing fee or cover sheet

139

130

139

130

Non-English specification

147

2,520

147

2,520

For filing a request for *ex parte* reexamination

112

920\*

112

920\*

Requesting publication of SIR prior to

Examiner action

113

1,840\*

113

1,840\*

Requesting publication of SIR after

Examiner action

115

110

215

55

Extension for reply within first month

116

390

216

195

Extension for reply within second month

117

890

217

445

Extension for reply within third month

118

1,390

218

695

Extension for reply within fourth month

128

1,890

228

945

Extension for reply within fifth month

119

310

219

155

Notice of Appeal

120

310

220

155

Filing a brief in support of an appeal

121

270

221

135

Request for oral hearing

138

1,510

138

1,510

Petition to institute a public use proceeding

140

110

240

55

Petition to revive - unavoidable

141

1,240

241

620

Petition to revive - unintentional

142

1,240

242

620

Utility issue fee (or reissue)

143

440

243

220

Design issue fee

144

600

244

300

Plant issue fee

122

130

122

130

Petitions to the Commissioner

123

130

123

130

Petitions related to provisional applications

126

180

126

180

Submission of Information Disclosure Stmt

581

40

581

40

Recording each patent assignment per property (times number of properties)

146

710

246

355

Filing a submission after final rejection (37 CFR § 1.129(a))

149

710

249

355

For each additional invention to be examined (37 CFR § 1.129(b))

179

710

279

355

Request for Continued Examination (RCE)

169

900

169

900

Request for expedited examination of a design application

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$)

0.00

**SUBMITTED BY**

Complete (if applicable)

Name (Print/Type)

David H. Hitt

Registration No.  
(Attorney/Agent)

33,182

Telephone

(972) 480-8800

Signature

Date

07/23/2001

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

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